

**REGISTRATION DOCUMENT**

**REGISTRATION DOCUMENT OF ROBECO INSTITUTIONAL ASSET MANAGEMENT B.V. (the “MANAGER”)**

**1. *General information about the activities of the Manager***

- a.* The Manager manages collective investment schemes (both UCITS and AIFs) and provides certain MiFID investment services, being portfolio management, investment advice and receipt and transmission of orders in financial instruments.

The Manager may establish offices in other countries and currently has offices in Germany, Italy, Spain, the United Kingdom and in Dubai.

- b.* For the UCITS under management, the Manager invests among others in equities of companies, fixed income products (both in developed and emerging markets), derivatives, asset-backed securities, or a combination thereof. The fund range consists of equity, government bond, high yield bond and multi-asset funds.

**2. *Information about (daily) policy makers and members of supervisory body's***

2.1.

	<i>Daily policy maker (dagelijks beleidsbepaler)</i>	<i>Policy maker (medebeleidsbepaler)</i>	<i>Members supervisory body</i>
<b>Robeco Institutional Asset Management B.V. (Manager)</b>	G.O.J.M. Van Hassel M.C.W. den Hollander K. van Baardwijk M.O. Nijkamp V. Verberk M.F. van der Kroft A.J.M. Belilos-Wessels C. von Reiche	ORIX Corporation Europe N.V.	S. Barendregt-Roojers S.H. Koyanagi M.F. Slendebroek M.A.A.C. Talbot R.R.L. Vlaar.
<b>J.P. Morgan Bank Luxembourg S.A. Amsterdam Branch</b> (depository for all Dutch UCITS, except Robeco Institutional Umbrella Fund)	P.A.A.M. Kerckhoffs	F. M.P. Mouchel J. E. Brun C. P. Rowland A.P Livesey M.S. Garvin I.R.J.M. Combes E. Amstutz Munro	
<b>Northern Trust Global Services SE, Amsterdam Branch</b> (depository for Robeco Institutional Umbrella Fund)	H. Prummel	D. Marlborough T.Ph. Glaysher J. Misselbrook J.R. Davie M. Saluzzi S. David J. Rowland D.C. Wicks T.A. Parker T. Lopez J.P.P.M. Wright	

J.P Morgan Bank Luxembourg S.A. (depository for all Luxembourg UCITS)	M. Fox F. Mouchel M. Pirola	J. E. Brun M.S. Garvin A.P. Livesey E. Amstutz Munro F. M.P. Mouchel C. P. Rowland I.R.J.M. Combes
Caceis Bank (depository for French UCITS)	C. Socha C. Echelard	J. Abadie C. Duvaud C. Rodriguez de Robles J. Saliba Ph. Bourgues P. Cimino T. Clemenz C. Echelard JP. Valentini S. van Katwijk
Caisse des Dépôts et Consignations (depository for French UCITS)	A.L. Michelson I. Delamour A. Moirignot	E. Lombard M. Yahiel V. Chapron-du Jeu P. Chevalier P. Peny O. Sichel C. Mayenobe N. Dufourcq O. Mareuse S. Quatrehomme N. Tubania A. Saintoyant

- 2.2. The daily policy makers of the Manager may also be board members of other Robeco companies.

### 3. *General information about the Manager and the Depository*

- 3.1. The Manager is a private company with limited liability having its registered office in Rotterdam, the Netherlands. It was established on 21 May 1974 under the name Rotrusco B.V.
- 3.2. The Manger is registered with the Trade Register of Rotterdam under number 24123167.
- 3.3. The Manager is a 100% subsidiary of Robeco Holding B.V. The latter company is a wholly owned subsidiary of ORIX Corporation Europe N.V. 100% of the shares of ORIX Corporation Europe N.V. are held by ORIX Corporation. Go to the website at [www.robeco.com/riam](http://www.robeco.com/riam) ("Website") for the relevant diagram.
- 3.4. J.P. Morgan Bank Luxembourg S.A. Amsterdam Branch is the depository for all Dutch UCITS, except for Robeco Institutional Umbrella Fund. The Amsterdam Branch forms part of a Luxembourg *Société Anonyme*. The registered office of J.P. Morgan Bank Luxembourg S.A. is in Luxembourg. J.P. Morgan Bank Luxembourg S.A. carries out its duties from the Dutch branch that was established on 8 May 2018 and is located at Strawinskylaan 1135, 1077 XX Amsterdam. J.P. Morgan Bank Luxembourg S.A. Amsterdam Branch is registered in the Dutch trade register under number 71651845.

Northern Trust Global Services SE, Amsterdam Branch is depository for Robeco Institutional

Umbrella Fund. The branch forms part of a *Societas Europaea* incorporated under Luxembourg law. Northern Trust Global Services SE carries out its duties from the Dutch branch that was established on 23 December 2005 and is located at Viñoly, 7th floor, Claude Debussylaan 18A, 1082 MD Amsterdam where it is registered in the Dutch trade register under number 34247992. Northern Trust Global Services SE is registered in the Registre de Commerce et des Sociétés in Luxembourg under number B232281,

J.P. Morgan Bank Luxembourg S.A. is the depositary for all Luxembourg UCITS. J.P. Morgan Bank Luxembourg S.A. is structured as a société anonyme under Luxembourg law. The registered office of J.P. Morgan Bank Luxembourg S.A. is in Luxembourg, where it is registered in the Registre de Commerce et des Sociétés in Luxembourg number B10958.

Caceis Bank S.A. France is depositary for several French funds. Caceis Bank S.A. France is structured as a société anonyme under French law. The registered office is in Place Valhubert 1-3 Paris where it is registered with the Registre Commerce et des Sociétés under number 92024722.

Caisse des Dépôts et Consignations is depositary for several French funds. La Caisse des Dépôts et Consignations is structured as a special institution created by French law. The registered office is 56 Rue de Lille Paris.

For schematic overviews, please refer to the Website.

#### **4. *Financial information about the Manager and the Depositaries***

- 4.1. The Manager's shareholders' equity meets the requirements of Section 3:53 Wft. The Manager has sufficient solvency as referred to in Section 3:57 Wft. For the latest auditor's report(s) covering this, please see the Website.
- 4.2. For the latest annual reports of the Manager and the depositaries please see the website.

#### **5. *Provision of information***

- 5.1. The prospectus of each UCITS indicates the manner by which the Manager informs the shareholders periodically.
- 5.2. The financial year of the Manager coincides with the calendar year. The Manager shall publish a report and financial statements annually within four months of the close of the financial year, including the statement by the auditor that the financial statements have been audited. In addition, a semiannual report will be published by the Manager each year before 1 September.
- 5.3. The financial year of the depositaries coincide with the calendar year. The annual report and financial statements shall be published via the website [www.robeco.com/riam](http://www.robeco.com/riam).
- 5.4. The Manager's articles of association, annual reports and financial statements and semiannual reports are available to shareholders/participants at the offices of the Manager and on the website [www.robeco.com/riam](http://www.robeco.com/riam).

**6. *Information about the replacement of the manager or the depositary***

6.1. If the agreement pursuant to which the Manager is appointed, is terminated, the Manager will continue to perform his work for a reasonable period until a new manager has been appointed.

If the agreement with the depositary is terminated, the depositary involved will continue to perform his duties during a reasonable period until a new depositary has been appointed.

6.2. Should a request be made to the AFM to revoke the license in accordance with Section 1:104 (1) at (a) Wft, this will be made known in at least one nationally available Dutch newspaper or to every shareholder/participant, as well as on the Website.

**Robeco Institutional Asset Management B.V.**

January 2021